

Attorney's Docket No. 6289

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Claudio L.K. Lins

Serial No.:

Filed:

For: ELECTROSTATIC DISINFECTANT DELIVERY

Assistant Commissioner for Patents
Washington, D.C. 20231



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR BEFORE MAILING
OF FIRST OFFICE ACTION (37 CFR 1.97(b))

NOTE: "An information disclosure statement shall be considered by the Office if filed: (1) within three months of the filing date of a national application; (2) within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) before the mailing date of a first Office action on the merits, whichever event occurs last." 37 CFR 1.97(b).

NOTE: The "filing date of a national application" under 37 CFR 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 CFR 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.41," 37 CFR 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration, and (5) a translation into English of any annexes to the International preliminary examination report, if such annexes were made in another language. 37 CFR 1.97(b)(2).

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8)

I hereby certify that this correspondence is, on the date shown below, being:

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Rodney D. DeKruif

(type or print name of person certifying)

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing.

NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 CFR 1.8, or Express Mail certificate under 37 CFR 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-in-part) so three months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "An action on the merits means an action which treats the patentability of the claims in an application as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirement (37 CFR 1.142) or just a requirement for additional fees to have a claim considered (37 CFR 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 CFR 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63).

Dated: 1/22/01
Reg. No. 35,853
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SIGNATURE OF ATTORNEY

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PATENT

Hereby certify that, on the date shown below, this document is being deposited with the United States Postal Service as Express Mail
E1 732809235US in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on January 22, 2001

Reinhart, Boerner, Van Deuren,
Norris & Rieselbach, s.c.

Dated January 22, 2001

By

Rodney D. DeKruif

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Claudio L.K. Lins

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For: ELECTROSTATIC DISINFECTANT
DELIVERY

Docket No. 6289

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

On behalf of Applicant and pursuant to 37 C.F.R. § 1.97-.98, copies of listed patents and
publications that may be relevant are hereby presented for consideration.

Respectfully submitted,

BY

Rodney D. DeKruif

Dated: January 22, 2001
Registration No.: 35,853
Telephone No.: (414) 298-8360

Reinhart, Boerner, Van Deuren,
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Application Number	
Filing Date	
First Named Inventor	Lins
Group Art Unit	
Examiner Name	
Attorney Docket Number	6289

Sheet	1	of	2
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09/22/01

[illegible][illegible]Date
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A PTO

(use as many sheets as necessary)

Sheet	2	of	2
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Complete if Known

Application Number	
Filing Date	
First Named Inventor	Lins
Group Art Unit	
Examiner Name	
Attorney Docket Number	6289

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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